

Assurance Map – Corporate Landlord

Risk Reference	Risk Description	First Line of Defence (Do-ers)	Second Line of Defence (Helpers)	Third Line of Defence (Checkers)
<p>(Grouped ICFS 009/015/09)</p>	<p>Systems Failures</p> <p>Secure, well-functioning IT systems are critical to carrying out statutory Children’s Social Work and Education functions. Fraud risk</p>	<ul style="list-style-type: none"> • Operational Test Schedules for Business Continuity Plans • Operational procedures and guidance including those set out in the Business Continuity Plans in the event of a system or process failure. • Risk Assessments • Staff training and development on business continuity arrangements. • Analysis following activation of 	<ul style="list-style-type: none"> • Customer Experience Cluster Senior Management Team (SMT) undertakes review of Cluster Operational Risk Register • Customer Function Senior Management Team (SMT) undertakes review of Cluster Operational Risk Register • Assurance Team • Business Continuity Group Sub-Group 	<ul style="list-style-type: none"> • Annual reporting of Function / Cluster Risk Register to Operational Delivery Committee. • Internal Audit Plan approved and overseen by Audit, Risk and Scrutiny Committee

		business continuity arrangements / tests and improvement plans identified.		
Risk Reference	Risk Description	First Line of Defence (Do-ers)	Second Line of Defence (Helpers)	Third Line of Defence (Checkers)
Corp Lan 02	<p>Risk to the delivery of Capital and Revenue Income</p> <p>Poor management of income will create financial pressure within service and across the council</p>	<p>-Staff have appropriate training, qualifications and engage with market and like professionals.</p> <p>-Regular marketing and income meetings.</p> <p>- Use of external property consultants, ,</p>	<ul style="list-style-type: none"> Senior Management Team (SMT) undertakes review of Cluster Operational Risk Register Regular meetings with finance contacts with third tier officers and alert system in place to escalate risks 	<ul style="list-style-type: none"> Annual reporting of risk register to Capital Committee Monthly budget print outs Annual Internal Audit Plan approved and overseen by Audit, Risk and Scrutiny Committee
Risk Reference	Risk Description	First Line of Defence (Do-ers)	Second Line of Defence (Helpers)	Third Line of Defence (Checkers)
CL03 and CL04	<p>Risk around delivery of asset valuation</p> <p>Risk around adequate asset management Planning</p>	<ul style="list-style-type: none"> Staff have appropriate training, qualifications and engage with market and like professionals. Resource plan developed Use of external advisors in specialised areas. 	<ul style="list-style-type: none"> Senior Management Team (SMT) undertakes review of Cluster Operational Risk Register Regular meeting with Finance Cluster. 	<ul style="list-style-type: none"> Annual reporting of risk register to Capital Committee Annual Internal Audit Plan approved and overseen by Audit, Risk and Scrutiny Committee
	<p>generate sufficient income.</p> <p>Reputational risk around realising rental incomes.</p>			